

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Masanobu Ando, et al.

Serial No.: Not Yet Assigned                      Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith              Examiner: Unknown

For: AN EDGE-EMITTING TYPE SEMICONDUCTOR LASER

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

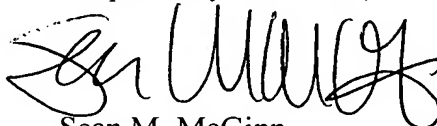
Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), the relevance of these documents are discussed on pages 1, 2, 3 and 4 of the subject application. Further, English-language Abstracts is attached to one of the references.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,



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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

F03-363-US

Application Number

Not Yet Assigned

Applicant(s)

Masanobu Ando, et al.

Filing Date

Concurrently Herewith

Group Art Unit

Not Yet Assigned

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,862,167	01/19/1999	Sassa et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		10-308560	11/17/1998	Japan				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Shigetoshi Ito, et al., "Transverse Modes of Ridge-Geometry Violet Laser Diodes", Sharp Technical Journal No. 77, August 2000, pp. 53-57

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.